

Table 2
FISCAL 2000 ENFORCEMENT CASES
LISTED BY PROGRAM AREA

<u>Name of Case</u>	<u>Release Number</u>	<u>Date Filed</u>
Broker-Dealer: Books & Records		
In the Matter of H.J. Meyers & Co., Inc., et.al.	AAER-1221	02/07/2000
SEC v. William F. Mahon, et.al.	LR-16751	09/28/2000
Broker-Dealer: Failure To Supervise		
SEC v. GKN Securities Corp.	LR-16410	12/29/1999
In the Matter of Dean Witter Reynolds, Inc.	34-43215	08/28/2000
In the Matter of Signal Securities, Inc., et.al.	34-43350	09/26/2000
In the Matter of Prospera Financial Services, Inc., et.al.	34-43352	09/26/2000
In the Matter of D.E. Frey & Company, Inc.	34-43354	09/26/2000
In the Matter of Dale E. Frey, et.al.	33-7901	09/26/2000
Broker-Dealer: Fraud Against Customer		
SEC v. Marlon D. Tropeano, et.al.	LR-16327	10/05/1999
SEC v. Michael J. Christie	LR-16724	10/07/1999
In the Matter of Vincent J. Iovine	34-42066	10/28/1999
SEC v. S. Jay Goldinger	AAER-1203	11/08/1999
SEC v. Robert J. Strauss	LR-16360	11/17/1999
In the Matter of Kenneth R. Starnes	34-42158	11/19/1999
SEC v. Sunpoint Securities, Inc., et.al.	LR-16366	11/19/1999
In the Matter of John H. Kim	34-42244	12/17/1999
In the Matter of Alan B. Schlusel	34-42243	12/17/1999
In the Matter of Robert J. Strauss	34-42245	12/17/1999
SEC v. Donald J. Martineau	LR-16406	01/10/2000
SEC v. Milan Capital Group, Inc., et.al.	LR-16405	01/10/2000
In the Matter of John Sebastian Ragazzo	34-42334	01/12/2000
In the Matter of S. Jay Goldinger	34-42358	01/27/2000
SEC v. Thomas Conwell, et.al.	LR-16420	01/31/2000
In the Matter of Donald J. Martineau	34-42422	02/14/2000
SEC v. Shan Michael Hefley	LR-16479	03/21/2000
In the Matter of Shan Michael Hefley	34-42625	04/06/2000
In the Matter of John F. Yakimczyk	34-42710	04/21/2000
In the Matter of Joseph P. Galluzzi	34-42826	05/25/2000

<u>Name of Case</u>	<u>Release Number</u>	<u>Date Filed</u>
In the Matter of Luis Bulas, Jr.	34-42956	06/19/2000
In the Matter of Thomas V. Conwell	34-43006	07/03/2000
In the Matter of Clyde Wayne Gregory	33-7873	07/18/2000
In the Matter of Janney Montgomery Scott, L.L.C., et.al.	34-43050	07/18/2000
SEC v. Meridian Asset Management, Inc., et.al.	LR-16638	07/26/2000
SEC v. Dean H. Harris	LR-16672	08/31/2000
SEC v. Regald B. Smith	LR-16698	09/07/2000
In the Matter of Shirley A. McKinney, et.al.	34-43322	09/21/2000
In the Matter of Paul Kucik	34-43321	09/21/2000
In the Matter of Cheryl A. Rodgers	33-7898	09/26/2000
In the Matter of Douglas J. Hopwood	33-7899	09/26/2000
In the Matter of William Lee Jeffers	33-7900	09/26/2000
In the Matter of Dean H. Harris	34-43374	09/28/2000
In the Matter of David Barroso, Jr.	34-43386	09/29/2000

Broker-Dealer: Government/Municipal Securities

In the Matter of BT Alex. Brown Incorporated	34-42145	11/17/1999
In the Matter of Kevin G. Quinn	34-42146	11/17/1999
In the Matter of Douglas E. Carter	34-42147	11/17/1999
In the Matter of Arthurs Lestrangle & Co, Inc., et.al.	34-42148	11/17/1999
In the Matter of Paschal Gene Allen	34-42204	12/06/1999
In the Matter of Rauscher Pierce Refsnes, Inc., et.al.	33-7844	04/06/2000
In the Matter of Prudential Securities Inc.	34-42626	04/06/2000
In the Matter of Lehman Brothers, Inc.	34-42638	04/06/2000
In the Matter of Morgan Stanley & Co., Inc.	34-42642	04/06/2000
In the Matter of Goldman, Sachs & Co.	34-42636	04/06/2000
In the Matter of Warburg Dillon Read LLC.	34-42628	04/06/2000
In the Matter of William R. Hough & Co.	34-42632	04/06/2000
In the Matter of Painewebber Inc.	34-42630	04/06/2000
In the Matter of Merrill Lynch, Pierce, Fenner & Smith Inc.	34-42640	04/06/2000
In the Matter of Salomon Smith Barney Inc.	34-42634	04/06/2000
SEC v. Eugene J. Yelverton, Jr.	LR-16533	04/24/2000
In the Matter of John S. Reger II, et.al.	34-42918	06/09/2000

Broker-Dealer: Other

In the Matter of Robert J. Carucci, et.al.	34-42083	11/01/1999
SEC v. Roy E. Matlock	LR-16797	12/03/1999
In the Matter of All-Tech Direct, Inc., et.al.	34-42446	02/22/2000
In the Matter of Investment Street Company, et.al.	34-42447	02/22/2000

<u>Name of Case</u>	<u>Release Number</u>	<u>Date Filed</u>
In the Matter of Tuschner & Company, Inc.	34-42556	03/21/2000
SEC v. The Oakford Corp., et.al.	LR-16497	03/30/2000
SEC v. United Custodial Corp.	LR-16633	07/21/2000
SEC v. Martin R. Frankel, et.al.	LR-16707	09/18/2000
SEC v. James A. Nies, et.al.	LR-16714	09/20/2000
In the Matter of Joseph M. Salvani, et.al.	34-43334	09/25/2000
In the Matter of Bertha Ayala, et.al.	34-43341	09/26/2000
In the Matter of Paul Nortman	34-43381	09/28/2000
In the Matter of Alex Moore & Co., Inc., et.al.	34-43382	09/28/2000

Contempt-Civil

SEC v. Clealon B. Mann	None	10/04/1999
SEC v. Michael J. Christie	None	10/14/1999
SEC v. Cathy Nazarian, et.al.	None	10/21/1999
SEC v. Richard Sherman, et.al.	None	10/21/1999
SEC v. John C. Matthews	None	11/04/1999
SEC v. Future Strategies Srl	None	11/05/1999
SEC v. Mysore S. Sundara	None	12/03/1999
SEC v. Benjamin Franklin Cook	None	12/06/1999
SEC v. Weyman B. Sinyard, et.al.	LR-16384	12/07/1999
SEC v. Commonwealth Group, LLC., et.al.	LR-16523	01/14/2000
SEC v. John Wayne Zidar, et.al.	LR-16418	01/24/2000
SEC v. Claude Savage	None	02/04/2000
SEC v. Thomas V. Conwell	None	02/14/2000
SEC v. Lindsey Springer	None	02/15/2000
SEC v. Ronald Sparks	None	02/24/2000
SEC v. Eivin Weibie	None	02/28/2000
SEC v. Van R. Lewis, III	None	03/10/2000
SEC v. Joseph P. Medsker	None	03/27/2000
SEC v. Thomas Michael Rittweger	None	04/06/2000
SEC v. C. Kelly Olsen	LR-16538	05/01/2000
SEC v. Scott Justeson, et.al.	None	05/15/2000
SEC v. Kathleen Cross	None	06/08/2000
SEC v. Larry W. Ellis, et.al.	None	06/09/2000
SEC v. Karl L. Dahlstrom	None	06/09/2000
SEC v. Beverlee Kamerling, et.al.	None	06/09/2000
SEC v. James Russell Cleveland	None	06/13/2000
SEC v. John Wayne Zidar, et.al.	LR-16598	06/19/2000
SEC v. Edward A. Durante, et.al.	None	07/12/2000

<u>Name of Case</u>	<u>Release Number</u>	<u>Date Filed</u>
SEC v. Benjamin Cook	None	07/19/2000
SEC v. William T. Flohr, et.al.	None	07/19/2000
SEC v. Michael B. Chalmers	None	07/26/2000
SEC v. Eric V. Bartoli	None	08/07/2000
SEC v. John M. Carlson	None	08/17/2000
SEC v. Richard Chier	None	08/22/2000
SEC v. Corporacion	None	08/31/2000
SEC v. Le Club Prive, S.A., et.al.	None	09/28/2000

Corporate Control

In the Matter of Gregory M. Shepard	34-43306	09/20/2000
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Delinquent Filings: Forms 3/4/5

In the Matter of Killlearn, Inc., et.al.	34-42436	02/17/2000
In the Matter of Phelps M. Wood	34-42670	04/12/2000
In the Matter of Gerald M. Wetzler	34-42719	04/25/2000

Delinquent Filings: Issuer Reporting

SEC v. Long Distance Direct Holdings, Inc.	LR-16403	01/07/2000
SEC v. Nevada Manhattan Group, Inc.	LR-16468	03/09/2000
In the Matter of JWGenesis Financial, Inc.	34-43053	07/19/2000
SEC v. JWGenesis Financial, Inc.	LR-16631	07/19/2000
SEC v. Intelligent Decision Systems, Inc.	LR-16745	09/29/2000

Insider Trading

SEC v. Jeffrey Spiegel	LR-16326	10/04/1999
SEC v. Cristan K. Blackman, et.al.	LR-16357	11/17/1999
SEC v. Stephen B. Humphries	LR-16361	11/18/1999
SEC v. James J. McDermott, et.al.	LR-16395	12/21/1999
In the Matter of Kevin J. Kirkbride	34-42305	01/03/2000
SEC v. Gonzalo Silveira, et.al.	LR-16404	01/07/2000
SEC v. Arthur Tab Williams Jr.	LR-16422	02/02/2000
SEC v. Thomas Moncure, et.al.	LR-16427	02/07/2000
SEC v. Andrew J. Newmark	LR-16434	02/11/2000
SEC v. Robert Spiegel	LR-16458	02/29/2000
SEC v. Nicholas M. Mihalas	LR-16465	03/07/2000
SEC v. John J. Pacowta	LR-16464	03/07/2000

<u>Name of Case</u>	<u>Release Number</u>	<u>Date Filed</u>
SEC v. John Freeman, et.al.	LR-16469	03/14/2000
SEC v. Financial Security Assurance Holdings Ltd.	LR-16474	03/17/2000
SEC v. Parvin Gidvani	LR-16482	03/23/2000
SEC v. Andrea Caputo, et.al.	LR-16487	03/27/2000
SEC v. Robert R. Hibbs	LR-16510	04/10/2000
SEC v. Liberato A. Iannone, et.al.	LR-16515	04/12/2000
SEC v. Nicolas Molina, et.al.	LR-16527	04/26/2000
SEC v. Henry T. Blackstock	LR-16539	05/04/2000
SEC v. Anthony Pollak, et.al.	LR-16561	05/23/2000
SEC v. Michael D. Sherman, et.al.	LR-16566	05/30/2000
SEC v. Michael R. Hendrix, et.al.	LR-16591	06/15/2000
SEC v. Roger Bernhammer	LR-16637	07/10/2000
In the Matter of Jonah Rosenblatt	34-43076	07/27/2000
In the Matter of Samuel G. Lederman	34-43106	08/02/2000
SEC v. Watson King, et.al.	LR-16648	08/07/2000
SEC v. Tracie Carpin, et.al.	LR-16655	08/17/2000
SEC v. Manu B. Shrivastava	LR-16669	08/30/2000
SEC v. Christopher L. Burton	LR-16670	08/31/2000
SEC v. Brian E. Pridgeon, et.al.	LR-16676	09/01/2000
SEC v. David W. Butler	LR-16701	09/14/2000
SEC v. Kevin L. Lindsey, et.al.	LR-16703	09/15/2000
SEC v. Daniel Marcus Boyd, III	LR-16715	09/20/2000
SEC v. Joseph P. Webster	LR-16718	09/21/2000
SEC v. Adrian A. Alexander, et.al.	LR-16733	09/27/2000
SEC v. Timothy J. Sullivan, et.al.	LR-16737	09/28/2000
SEC v. Raymond Imp	LR-16781	09/28/2000
In the Matter of Theodore R. Hunter	34-43390	09/29/2000
SEC v. Robert Glen Sedlacek	LR-16739	09/29/2000

Investment Adviser

In the Matter of James William Fuller	IA-1842	10/04/1999
In the Matter of Gordon Richard Taubenheim	IA-1843	10/04/1999
SEC v. Alan Brian Bond, et.al.	LR-16394	12/16/1999
In the Matter of Scudder Kemper Investments, Inc., et.al.	IA-1848	12/22/1999
In the Matter of Michael T. Sullivan, III	IA-1849	12/22/1999
SEC v. Yun Soo Oh Park, et.al.	LR-16399	01/05/2000
SEC v. Michael W. Berger, et.al.	LR-16412	01/18/2000
In the Matter of Waltzer and Associates, et.al.	IA-1854	02/07/2000
SEC v. David M. Mobley, et.al.	LR-16446	02/22/2000

<u>Name of Case</u>	<u>Release Number</u>	<u>Date Filed</u>
In the Matter of Brian T. Lenihan	IA-1861	03/14/2000
SEC v. DynamicDaytrader.com L.L.C., et.al.	LR-16475	03/20/2000
SEC v. Dana C. Giacchetto, et.al.	LR-16499	04/03/2000
In the Matter of Gold Asset Management, Inc., et.al.	IA-1868	04/14/2000
In the Matter of Dreyfus Corp., et.al.	IA-1870	05/10/2000
SEC v. Michael T. Higgins, et.al.	LR-16547	05/10/2000
SEC v. James S. Saltzman	LR-16554	05/12/2000
SEC v. James L. Foster, et.al.	LR-16567	05/30/2000
In the Matter of Sharp Capital, Inc., et.al.	IA-1875	06/08/2000
In the Matter of William M. Stephens	IA-1878	06/14/2000
In the Matter of Owen-Joseph Asset Management Corp., et.al.	IA-1880	06/15/2000
In the Matter of Founders Asset Management LLC, et.al.	IA-1879	06/15/2000
In the Matter of Timothy J. Lyons	IA-1882	06/20/2000
In the Matter of James L. Foster, et.al.	IA-1881	06/20/2000
SEC v. Thomas J. Kearns, et.al.	LR-16610	06/23/2000
In the Matter of Charles F. Parisi	IA-1885	07/10/2000
In the Matter of Vector Index Advisors, Inc., et.al.	33-7874	07/18/2000
In the Matter of Dawson-Samberg Capital Management, Inc., et.al.	IA-1889	08/03/2000
In the Matter of Independent Financial Group, et.al.	IA-1891	08/08/2000
In the Matter of James Michael Cogley	34-43135	08/10/2000
In the Matter of Brian W. O'Connor	IA-1893	08/10/2000
In the Matter of F. Xavier Saavedra	IA-1894	08/10/2000
In the Matter of F.W. Thompson Co., Ltd., et.al.	IA-1895	09/07/2000
SEC v. Brian W. O'Connor	LR-16749	09/20/2000
SEC v. Capital Consultants, LLC., et.al.	LR-16720	09/21/2000
In the Matter of Raymond A. Parkins, Jr.	33-7896	09/25/2000
SEC v. Robert C. Sears, et.al.	LR-16735	09/26/2000
In the Matter of Mumtaz Saxena	IA-1899	09/28/2000
In the Matter of Timothy Charles Sweeney	IA-1901	09/29/2000
In the Matter of Susan M. Gorski	IA-1902	09/29/2000
In the Matter of Charles K. Seavey, et.al.	34-43392	09/29/2000

Investment Company

In the Matter of Nova Communications Ltd., et.al.	IC-24384	04/10/2000
SEC v. Rupy-Barrington Capital Management, Inc., et.al.	LR-16623	07/10/2000
In the Matter of Charles G. Dyer, et.al.	IC-24594	08/08/2000
In the Matter of Douglas R. Shisler	34-43378	09/28/2000

<u>Name of Case</u>	<u>Release Number</u>	<u>Date Filed</u>
Issuer Financial Disclosure		
In the Matter of Thor Industries, Inc.	AAER-1190	10/18/1999
In the Matter of Jerry M. Walker, CPA	AAER-1191	10/18/1999
In the Matter of David E. Stevenson	AAER-1192	10/19/1999
In the Matter of Stephen J. Kesh, CPA	AAER-1196	10/21/1999
In the Matter of Lee R. Fontaine, CPA	AAER-1194	10/21/1999
In the Matter of Rick W. Gossett, CPA	AAER-1195	10/21/1999
SEC v. ABS Industries, Inc., et.al.	AAER-1197	10/28/1999
In the Matter of Pairgain Technologies, Inc.	AAER-1204	11/08/1999
In the Matter of Joseph Salomon	AAER-1207	11/10/1999
In the Matter of Jimmy L. Duckworth, CPA	AAER-1205	11/10/1999
In the Matter of Cronos Group	AAER-1208	11/15/1999
SEC v. Accelr8 Technology Corp., et.al.	AAER-1209	11/16/1999
In the Matter of Michael J. Hooper, CPA	AAER-1210	11/19/1999
SEC v. Solucorp Industries Ltd., et.al.	AAER-1213	12/13/1999
In the Matter of Jose E. Rivera	AAER-1212	12/14/1999
In the Matter of Informix Corporation	AAER-1215	01/11/2000
In the Matter of Edward Welch	AAER-1217	01/24/2000
In the Matter of Robert L. Norton	AAER-1222	02/07/2000
In the Matter of William L. Clancy, CPA	AAER-1220	02/07/2000
In the Matter of Joseph M. Morris, CPA	AAER-1223	02/10/2000
In the Matter of Joseph E. Williams	AAER-1225	02/10/2000
SEC v. Robert B. Anacone	AAER-1233	02/29/2000
SEC v. Donald K. McGhan	AAER-1234	03/08/2000
In the Matter of H. Deworth Williams	AAER-1235	03/20/2000
In the Matter of Jeremy G. Dunne	AAER-1236	03/20/2000
In the Matter of Laser Technology, Inc.	AAER-1237	03/20/2000
SEC v. David W. Williams, et.al.	AAER-1238	03/20/2000
SEC v. Eric P. Furman, et.al.	AAER-1239	03/22/2000
In the Matter of Jill Pitts, et.al.	AAER-1240	03/23/2000
SEC v. Digital Lightwave. Inc., et.al.	LR-16419A	03/29/2000
In the Matter of Nanette Miller, CPA	AAER-1241	03/29/2000
In the Matter of Beth A. Morris, et.al.	AAER-1243	03/29/2000
In the Matter of Seth P. Joseph	AAER-1244	03/29/2000
In the Matter of Peritus Software Services, Inc.	AAER-1247	04/13/2000
SEC v. Allen K. Deary, et.al.	LR-16516	04/13/2000
SEC v. David W. McConnell, et.al.	AAER-1254	05/02/2000
In the Matter of Albert Adamczak, CPA	AAER-1253	05/02/2000
In the Matter of Stephen H. Spargo, CPA	AAER-1252	05/02/2000
In the Matter of Cynthia Pfaltzgraff, CMA	AAER-1255	05/03/2000

<u>Name of Case</u>	<u>Release Number</u>	<u>Date Filed</u>
In the Matter of America Online, Inc.	AAER-1257	05/15/2000
SEC v. America Online, Inc.	AAER-1258	05/15/2000
SEC v. C. Willam Cox	AAER-1262	05/23/2000
In the Matter of Intile Designs, Inc.	AAER-1259	05/23/2000
In the Matter of R. Gordon Jones, et.al.	AAER-1261	05/25/2000
In the Matter of Samuel L. White, CPA	AAER-1263	06/01/2000
In the Matter of Eric P. Furman, CPA	AAER-1267	06/06/2000
In the Matter of Firstmark Corp.	AAER-1270	06/08/2000
In the Matter of Scott E. Edwards, CPA	AAER-1271	06/08/2000
SEC v. Cosmo Corigliano, et.al.	AAER-1276	06/14/2000
SEC v. Steven Speaks	AAER-1276	06/14/2000
SEC v. Mary Sattler Polverari	AAER-1276	06/14/2000
In the Matter of Cendant Corp.	AAER-1272	06/14/2000
In the Matter of Steven Speaks, CPA	AAER-1274	06/14/2000
In the Matter of Mary Sattler Polverari, CPA	AAER-1275	06/14/2000
In the Matter of Paul Hiznay	AAER-1273	06/14/2000
In the Matter of Waste Management, Inc.	AAER-1277	06/21/2000
SEC v. DCI Telecommunications, Inc., et.al.	AAER-1279	06/23/2000
In the Matter of Schnitzer & Kondub, P.C., et.al.	AAER-1278	06/23/2000
In the Matter of Gregory A. Alba, CPA	AAER-1280	06/26/2000
In the Matter of Ronald G. Davies	AAER-1281	06/29/2000
SEC v. Hybrid Networks, Inc., et.al.	AAER-1282	06/29/2000
In the Matter of Allegheny Health, Education & Research Foundation	AAER-1283	06/30/2000
SEC v. Michael A. Puhr	AAER-1286	07/13/2000
In the Matter of Kevin T. Kearney, CPA	AAER-1284	07/13/2000
SEC v. System Software Associates, Inc., et.al.	AAER-1285	07/14/2000
SEC v. Guilford Mills, Inc., et.al.	AAER-1288	07/24/2000
In the Matter of Guilford Mills, Inc.	AAER-1287	07/24/2000
In the Matter of Steven Wolis	AAER-1290	08/04/2000
In the Matter of Axel E. Friedberg, et.al.	AAER-1291	08/08/2000
SEC v. Stefan M. Palatin	LR-16645	08/08/2000
In the Matter of Herbert M. Campbell II, ESQ.	34-43136	08/10/2000
In the Matter of William J. McClintock, C.A.	AAER-1293	08/16/2000
In the Matter of Boston Scientific Corp.	AAER-1295	08/21/2000
In the Matter of Theodore Ursu III, CPA	AAER-1298	09/06/2000
SEC v. Robert G. Herndon	LR-16710	09/19/2000
SEC v. Ronald R. Charnock, et.al.	AAER-1304	09/19/2000
In the Matter of Pier 1 Imports, Inc.	AAER-1303	09/19/2000
SEC v. Clifford E. Hotte, et.al.	AAER-1308	09/21/2000
In the Matter of Edward Cheramy	AAER-1307	09/22/2000

<u>Name of Case</u>	<u>Release Number</u>	<u>Date Filed</u>
In the Matter of Rudy Wann	AAER-1306	09/22/2000
In the Matter of Acclaim Entertainment, Inc., et.al.	AAER-1309	09/26/2000
In the Matter of Centennial Technologies, Inc.	AAER-1311	09/26/2000
SEC v. James Murphy, et.al.	AAER-1310	09/26/2000
SEC v. Countryland Wellness Resorts, Inc., et.al.	LR-16732	09/27/2000
In the Matter of Cylink Corp.	AAER-1313	09/27/2000
In the Matter of Premier Laser Systems, Inc.	AAER-1314	09/27/2000
In the Matter of Countryland Wellness Resorts, Inc.	AAER-1316	09/27/2000
In the Matter of Craig Consumer Electronics, Inc.	AAER-1318	09/27/2000
In the Matter of Bonnie K. Metz	AAER-1317	09/27/2000
In the Matter of Isaac Hager	AAER-1319	09/27/2000
SEC v. Richard I. Berger, et.al.	LR-16731	09/27/2000
SEC v. Maurice B. Newman, et.al.	LR-16730	09/27/2000
SEC v. Michael L. Hiebert	LR-16729	09/27/2000
SEC v. John Daws, et.al.	LR-16728	09/27/2000
SEC v. Matthew R. Welch, et.al.	LR-16734	09/27/2000
In the Matter of YourBankOnline.com, et.al.	AAER-1315	09/27/2000
SEC v. Jay Gilbertson, et.al.	AAER-1329	09/28/2000
In the Matter of Drew Bergman, CPA	AAER-1321	09/29/2000
In the Matter of Luis E. Gomez, CPA	AAER-1322	09/29/2000
In the Matter of Donald C. Yount, CPA	AAER-1323	09/29/2000

Issuer Reporting: Other

SEC v. Charles S. Strauch, et.al.	AAER-1203	11/08/1999
SEC v. Econnect, et.al.	LR-16481	03/23/2000
SEC v. Tutor.net.com Group, Inc., et.al.	LR-16667	08/29/2000

Market Manipulation

SEC v. Arash Aziz-Golshani, et.al	LR-16391	12/15/1999
In the Matter of Ronald A. Martini	34-42275	12/27/1999
In the Matter of John F. LaSala	34-42276	12/27/1999
In the Matter of Brad Haddy, et.al.	34-42277	12/27/1999
In the Matter of Robert A. Schatz	34-42278	12/27/1999
In the Matter of H.J. Meyers & Co., Inc., et.al.	34-42393	02/07/2000
SEC v. David Abish, et.al.	LR-16429	02/09/2000
In the Matter of Frank J. Cannata	34-42416	02/11/2000
SEC v. Wellness Universe Corp., et.al.	LR-16439	02/16/2000
In the Matter of William J. Nordvik, et.al.	34-42445	02/22/2000
SEC v. Jon F. Williams, et.al.	LR-16449	02/24/2000

<u>Name of Case</u>	<u>Release Number</u>	<u>Date Filed</u>
SEC v. Peter C. Lybrand, et.al.	LR-16448	02/24/2000
SEC v. James Sheret, et.al.	LR-16451	02/24/2000
In the Matter of Kenneth Terrell, et.al.	34-42483	03/02/2000
SEC v. Douglas W. Colt	LR-16461	03/02/2000
SEC v. Stephen B. Marek, et.al.	LR-16500	04/04/2000
SEC v. Enterprises Solutions, Inc., et.al.	LR-16506	04/06/2000
SEC v. Stephen C. Sayre, et.al.	LR-16509	04/08/2000
SEC v. Refael Shaoulian, et.al.	LR-16621	05/03/2000
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SEC v. Lee E. Gahr, et.al.	LR-16688	09/06/2000
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SEC v. Jason M. Chester, et.al.	LR-16629	07/17/2000
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SEC v. Kenneth R. Payne, et.al.	LR-16650	08/10/2000
SEC v. Texas American Group, Inc., et.al.	LR-16652	08/11/2000
SEC v. Precious Stones Trading Worldwide, Inc., et.al.	LR-16653	08/16/2000
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Other Regulated Entities - SRO's

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